# IAP13 Rec'd PCT/PTO 03 NOV 2006

Docket No.: 043890-0794 **PATENT** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Customer Number: 20277

Masaya UGAJI, et al. Confirmation Number: 5527

Application No.: 10/575,889 Group Art Unit: 1745

Filed: April 14, 2006 Examiner: Not Yet Assigned

For: NEGATIVE ELECTRODE FOR BATTERY AND BATTERY USING THE SAME

# RESPONSE TO NOTIFICATION OF INSUFFICIENT FEES

Attn: PCT Legal Staff Mail Stop PCT Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In response to the Notification of Insufficient Fees dated October 17, 2006, submitted herewith is the following for filing in the above-referenced application:

### Additional Claims Fee of \$200.00

Please charge Deposit Account No. 500417 in the amount of \$200.00. To the extent necessary, Applicants petition for an extension of time under 37 C.F.R. 1.136. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

It is requested that the official filing receipt now be issued. Please note that applicant information should read: Masaya Ugaji, Osaka, JAPAN; Shinji Mino, Osaka, JAPAN; Yasuyuki Shibano, Osaka, JAPAN; Shuji Ito, Nara, JAPAN. In accordance with 37 CFR 1.48(f)(1) the executed Declaration provides the correct inventorship.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

Michael E. Fogarty

Registration No. 36,139

Please recognize our Customer No. 20277

as our correspondence address.

600 13<sup>th</sup> Street, N.W. Washington, DC 20005-3096 Phone: 202.756.8000 MEF:sln

Facsimile: 202.756.8087 **Date: November 3, 2006** 



### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspio.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/575,889 Masaya Ugaji 0438900794

INTERNATIONAL APPLICATION NO.

PCT/JP05/18917

20277 MCDERMOTT WILL & EMERY LLP 600 13TH STREET, N.W. WASHINGTON, DC 20005-3096 I.A. FILING DATE PRIORITY DATE

10/14/2005 10/21/2004

CONFIRMATION NO. 5527 371 FORMALITIES LETTER

\*OC000000020850507\*

Date Mailed: 10/17/2006

## Notification of Insufficient Fees (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/14/2006
- English Translation of the IA filed on 04/14/2006
- Copy of the International Search Report filed on 04/14/2006
- Preliminary Amendments filed on 04/14/2006
- Information Disclosure Statements filed on 04/14/2006
- Oath or Declaration filed on 04/14/2006
- Request for Immediate Examination filed on 04/14/2006
- U.S. Basic National Fees filed on 04/14/2006
- Substitute Specification filed on 04/14/2006



McDermott Will & Emery LLP DC Office

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

 Additional claim fees of \$200 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$200 for a Large Entity:

- Total additional claim fee(s) for this application is \$ 200
  - \$200 for 1 independent claims over 3.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE

# DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

ANITA D JOHNSON

Telephone: (703) 308-9140 EXT 226

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/575,889	PCT/JP05/18917	0438900794

FORM PCT/DO/EO/923 (371 Formalities Notice)